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1	Melinda Bird, State Bar No. 102236	Rob Bonta	
2	<u>melinda.bird@disabilityrightsca.org</u> Emily Ikuta, State Bar No. 315568	Attorney General of California CHARLES J. ANTONEN	
3	emily.ikuta@disabilityrightsca.org DISABILITY RIGHTS CALIFORNIA	Supervising Deputy Attorney General RICARDO ENRIQUEZ	
4	350 S. Bixel Street, Suite 290 Los Angeles, CA 90017	Deputy Attorney General State Bar No. 233073	
5	Tel.: (213) 213-8000 Fax: (213) 213-8001	1300 I Street, Suite 125 P.O. Box 944255 Socrements CA 94244 2550	
6	Rebecca Williford, State Bar No. 269977 rwilliford@dralegal.org	Sacramento, CA 94244-2550 Telephone: (916) 210-7919 Fax: (916) 324-5567	
7	Meredith J. Weaver, State Bar No. 299328 <u>mweaver@dralegal.org</u>	E-mail: Ricardo.Enriquez@doj.ca.gov Attorneys for Defendants	
8 9	DISABILITY RIGHTS ADVOCATES 2001 Center Street, 3 rd Floor	Into neys for Defendants	
9 10	Berkeley, CA 94704 Tel: (510) 665-8644 Fax: (510) 665-8511		
11	Attorneys for Plaintiffs		
12	(List of Plaintiffs' Counsel continued on next		
13	page)		
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16	UNITED STATES	DISTRICT COURT	
17	NORTHERN DISTR	ICT OF CALIFORNIA	
18	SAN FRANCI	SCO DIVISION	
19	LUGENE McCULLOUGH, by and through his guardian ad litem Maya Klein; GINA	Case No. 3:20-cv-2958-SI	
20	LAMBERTON, by and through her guardian ad litem Jeffrey Taylor; JOSONIA BISHARA,	JOINT MOTION FOR PRELIMINARY APPROVAL OF CLASS ACTION	
21	by and through her guardian ad litem Samond Bishara on behalf of themselves and all others	SETTLEMENT; MEMORANDUM OF POINTS AND AUTHORITIES	
22	similarly situated,	Date: April 28, 2023	
23	D1 + cC	$T_{imax} = 10.00 \text{ s m}$	
	Plaintiffs,	Time: 10:00 a.m. Place: Remote (Zoom)	
24	v.		
25	v. CALIFORNIA DEPARTMENT OF DEVELOPMENTAL SERVICES, and	Place: Remote (Zoom)	
	v. CALIFORNIA DEPARTMENT OF	Place: Remote (Zoom)	
25 26	v. CALIFORNIA DEPARTMENT OF DEVELOPMENTAL SERVICES, and NANCY BARGMANN, in her official capacity as Director of the California	Place: Remote (Zoom)	
25 26 27	v. CALIFORNIA DEPARTMENT OF DEVELOPMENTAL SERVICES, and NANCY BARGMANN, in her official capacity as Director of the California Department of Developmental Services,	Place: Remote (Zoom)	

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	2				
	3	William Leiner, State Bar No william.leiner@disabilityrigl	o. 255528 <u>ntsca.org</u>		
	4	william.leiner@disabilityrigl S. Lynn Martinez, State Bar lynn.martinez@disabilityrigh DISABILITY RIGHTS CAL	No. 164406 <u>ntsca.org</u>		
	5	1330 Broadway, Suite 500	LIFORNIA		
	6	Oakland, CA 94612 Tel.: (510) 267-1200 Fax: (510) 267-1201			
	7				
	8	8 <i>Attorneys for Plaintiffs</i>			
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		5. The proposed class meets the requirements of Rule 23(b)(2).
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NOTICE OF MOTION AND MOTION

2 TO ALL PARTIES and the COURT: PLEASE TAKE NOTICE THAT on April 28, 2023 at 3 10:00 a.m., or as soon thereafter as can be heard, Plaintiff Lugene McCullough, by and through 4 his guardian ad litem Maya Klein, and Plaintiff Josonia Bishara, by and through her guardian ad 5 litem Samond Bishara (collectively "Plaintiffs"); and Defendants California Department of 6 Developmental Services ("DDS") and Nancy Bargmann in her official capacity as Director of 7 DDS (collectively "Defendants") will move the Court for entry of an order: (1) granting 8 preliminary approval of their proposed class-wide settlement agreement, submitted herewith as 9 Ex. 1 to the Declaration of Meredith J. Weaver; (2) provisionally certifying the proposed 10Settlement Class and appointing Plaintiffs' attorneys as class counsel, pending final approval; 11 (3) approving the Parties' proposed form of notice and directing notice to the class; and 12 (4) setting deadlines for notice, objections, and a final fairness hearing. The hearing on this 13 motion will take place before United States District Judge Susan Illston, and will be conducted 14 via Zoom webinar (the login information for which can be found on the Court's website at 15 https://www.cand.uscourts.gov/judges/illston-susan-si/). This motion is based upon this Notice of 16 Motion and Motion, the accompanying Memorandum of Points and Authorities, the concurrently 17 filed declarations and exhibits, all pleadings and papers on file in this action, and any oral 18 argument that may be presented.

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MEMORANDUM OF POINTS AND AUTHORITIES¹

I. INTRODUCTION

In April 2020, Plaintiffs filed this action against Defendants, alleging that DDS and its
 Director were violating federal and state laws by discriminating against Plaintiffs and other deaf
 consumers². Following extensive negotiations that took place over more than two years, the

- ²⁶ ² "Consumer" refers to an individual who has been found eligible to receive services pursuant to the Lanterman Developmental Disabilities Services Act ("Lanterman Act"). *See* Cal. Welf. &
- Inst. Code § 4512(a) (defining developmental disability under the Lanterman Act) & § 4512(d)
 (defining "consumer" as "a person who has a disability that meets the definition of
 - developmental disability set forth in subdivision (a)").

DISABILITY RIGHTS ADVOCATES

2001 CENTER STREET, THIRD FLOOR BERKELEY, CALIFORNIA 94704-1204

(510) 665-8644

¹ Nothing in this joint motion should be construed as an admission of liability, or a waiver of any arguments or defenses any party might raise in the future, should final approval not be granted.

1 Parties have reached a proposed Class Settlement Agreement (the "Agreement") that includes 2 meaningful procedural requirements to ensure that deaf consumers have effective 3 communication. Among other things, the Agreement will create a working group with 4 membership of key stakeholders to make recommendations regarding DDS policies, services, 5 and training; will result in the hiring of a statewide Equity Specialist, and a regional Deaf 6 Services Specialist at each of the twenty-one regional centers; and will implement a process for 7 assessing the communication skills and needs of deaf consumers. See Decl. Meredith J. Weaver 8 Supp. Joint Mot. Prelim. Approval ("Weaver PA Decl.") Ex. 1: Class Action Settlement 9 Agreement (hereinafter "Agreement"). Under the Agreement, deaf consumers will receive 10appropriate communication assessments, which will ensure that regional center staff and vendors 11 are aware of their abilities, preferences, and needs for auxiliary aids and services. Deaf 12 consumers will also have more appropriate programs from which to choose thanks to DDS's 13 commitment to prioritize funding for new and expanded programs for deaf consumers, including housemate matching. New Deaf Services Specialists at every regional center and at the statewide 14 15 level will be available to support the development of new resources, staff training, and 16 completion of communication assessments. And DDS will provide training for staff who work 17 with deaf consumers and a new webpage with resources on increasing access for deaf 18 consumers.

The Agreement is in the best interest of all Parties and satisfies the requirements of
Federal Rule of Civil Procedure 23. Plaintiffs respectfully request that the Court: (1) grant
preliminary approval of the Agreement; (2) provisionally certify the proposed Settlement Class
and appoint Plaintiffs' attorneys as class counsel, pending final approval; (3) approve the Parties'
proposed form of notice and direct notice to the class; and (4) set deadlines for notice, objections,
and a final fairness hearing.

- 25 II. FACTUAL BACKGROUND
 - A. <u>Litigation History</u>

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- Commencement of the Action in Two Forums
- Plaintiffs filed this putative class action on April 30, 2020, alleging that the California

1 Department of Developmental Services ("DDS") and DDS's Director, in her official capacity, 2 fail to ensure that deaf individuals who qualify for DDS services receive equal access thereto in 3 violation of Title II of the Americans with Disabilities Act, 42 U.S.C. § 12131 et seq. ("Title II"); 4 Section 504 of the Rehabilitation Act, 29 U.S.C. § 794 ("Section 504"); and California 5 Government Code section 11135 ("Section 11135"). ECF No. 1 (Compl.). Plaintiffs allege that 6 they and thousands of other deaf consumers are systematically denied interpreters and other aids 7 and services that are necessary for effective communication and therefore are denied the benefits 8 of the program that are available to hearing consumers. Id.; ECF No. 11 (First Am. Compl.). 9 Defendants expressly deny these allegations and assert that they have always complied with the 10law and that deaf consumers received the legally required services. ECF No. 39 (Answer). 11 After Defendants indicated that they would challenge the U.S. District Court's 12 jurisdiction over Plaintiffs' state law claims, Plaintiffs filed their First Amended Complaint 13 ("FAC") removing Section 11135 claims. ECF No. 11 (FAC); Weaver PA Decl. ¶ 3. Plaintiffs 14 refiled their Section 11135 claims, based on the same underlying facts as this litigation, in the 15 Superior Court of California. Weaver PA Decl. ¶ 4.³ The Parties agreed that Plaintiffs would 16 withhold service of the state court complaint during the pendency of this Court's stay of 17 litigation. Weaver PA Decl. ¶ 7; ECF No. 56 (Order Stay Litig.).

2. <u>Dispositive Motion Practice</u>

On July 13, 2020, Defendants filed a motion to dismiss Plaintiffs' FAC for failure to
establish subject matter jurisdiction and for failure to state a claim upon which relief can be
granted. ECF No. 23 (Defs' Mot. Dismiss). Plaintiffs opposed the motion. ECF No. 27 (Pls.'
Opp'n). Following oral argument, the Court denied Defendants' motion in its entirety. ECF
Nos. 35 (Mins. re Hr'g Mot. Dismiss) & 38 (Order Den. Mot. Dismiss).

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3. Early Discovery

Following the Court's order on Defendants' motion to dismiss, the Parties began their

³ The Superior Court designated Plaintiffs' case as complex pursuant to Rule 3.4 *et seq.* of the California Rules of Court and appointed Maya Klein and Samond Bishara as guardians *ad litem* to represent the interests of Plaintiffs Lugene McCullough and Josonia Bishara, respectively. Decl. Meredith J. Weaver Supp. Joint Mot. Prelim. Approval ("Weaver PA Decl.") ¶¶ 5–6.

discovery efforts. On August 31, 2020, the Parties exchanged initial disclosures pursuant to
 Federal Rule of Civil Procedure 26(a)(1)(C). Weaver PA Decl. ¶ 8. In November 2020, Plaintiffs
 served their first sets of Interrogatories and Requests for Admission on Defendants. *Id.* ¶¶ 9–10.
 Litigation was stayed by the Court prior to Defendants' response to Plaintiffs' discovery
 requests. *Id.* ¶ 11; ECF No. 56 (Order Stay Litig.).

On April 26, 2021, Plaintiffs notified Defendants that they intended to designate
Dr. Romy Spitz and Dr. Judy Shepard-Kegl as experts and provided Defendants the experts'
joint report containing opinions regarding each Plaintiff's communication abilities and needs
based on their evaluation of each Plaintiff and expertise in linguistics, American Sign Language
("ASL") and other signed languages, and language acquisition by individuals who are deaf and
have an intellectual or developmental disability. Weaver PA Decl. ¶ 12.

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B. <u>The Parties Reached the Agreement Through More Than Two Years of</u> <u>Arms' Length Negotiations</u>

Following the Initial Case Management Conference held on September 18, 2020, the Court granted the Parties' joint request that the case be referred to then-Magistrate Judge Jacqueline Scott Corley for a settlement conference. *See* ECF No. 32 at 9⁴ (Joint Case Mgmt. Statement), ECF No. 36 (Order Ref. Mag. J. Settlement).

From November 2020 to September 2022, the Parties held eight settlement conferences 18 with Judge Corley and at least seven settlement meetings among themselves. ECF Nos. 50, 54, 19 58, 60, 67, 79, 89, 92 (Min. Entries re Settlement Confs.); Weaver PA Decl. ¶ 17. In addition, the 20 Parties exchanged dozens of proposals in crafting this settlement, including term sheets, remedial 21 plans, and many versions of the Agreement and Implementation Plan. Id. ¶ 18. At multiple 22 junctures, the Parties reached impasse and only overcame these issues with the assistance of 23 Judge Corley. Id. ¶ 19. The Plaintiffs' guardians ad litem participated in many of the settlement 24 conferences and meetings where substantive terms were negotiated, and provided input 25 throughout the negotiation process. Id. ¶ 17; Decl. Maya Klein Supp. Joint Mot. Prelim. 26 27

²⁸⁴ For ECF documents, page number citations refer to the ECF branded number in the upper righthand corner of the page.

Approval ("Klein PA Decl.") ¶ 13; Decl. Samond Bishara Supp. Joint Mot. Prelim. Approval
 ("Bishara PA Decl.") ¶ 8.

The Parties resolved all other terms of the Agreement prior to Plaintiffs providing their
demand for attorneys' fees and costs in September 2022. Weaver PA Decl. ¶ 20. Plaintiffs' initial
demand consisted of \$1,788,305 in attorneys' fees incurred for work through final approval⁵,

6 \$30,650.05 in costs incurred through final approval, and \$113,360 to cover work necessary to

7 monitor implementation of the Agreement. *Id.* ¶¶ 22–23. Plaintiffs' counsel also provided

8 Defendants their detailed billing records and itemized costs. *Id.* ¶ 25. After over four months of

9 negotiations, the Parties reached agreement on a lump-sum payment of \$1,300,000 for all

- 10 attorneys' fees and costs through the term of the Agreement. *Id.* ¶ 24; Agreement § III.D.
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C. <u>Material Terms of the Proposed Settlement Agreement</u>

The Agreement defines a single statewide Settlement Class as follows:

All persons eligible for services pursuant to the Lanterman Developmental Disabilities Services Act whose response to question 60 within DDS's "Client Development Evaluation Report (CDER) Diagnostic Element" is "2-Severe hearing loss," "3-Profound hearing loss," or "9-Hearing loss suspected, severity undetermined;" and whose response to question 61 within DDS's CDER Diagnostic Element is "2-Severe hearing loss," "3-Profound hearing loss," "8-Correction not possible," or "9-Hearing not corrected."

20 The central remedial element of the Agreement is the *Plan to Enhance Services for*

- 21 Individuals Who Are Deaf, which describes "DDS's phased process for implementation of
- 22 additional resources to support Settlement Class Members" and includes milestones for various
- 23 tasks along with target dates for completion. See Agreement § III.B & Exhibit A (hereinafter,
- 24 "Implementation Plan"). The Implementation Plan has six elements, discussed in more detail
- 25 below: (1) creation of a Steering Committee, § A; (2) a process for providing communication
- 26 assessments for deaf consumers, § B.1; (3) hiring of twenty-two specialists at the statewide and
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¹⁹ Agreement ¶¶ 3, 6, 22.

^{28 &}lt;sup>5</sup> This demand was based on Plaintiffs' counsel's combined lodestar, discussed below in § IV.B.3.b.

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regional levels to provide leadership, expertise, and support for deaf consumers, § B.2.a–b;
 (4) prioritization for increasing appropriate service and housing options, § B.3; (5) increasing
 staff, service provider, and consumer familiarity with and knowledge of effective communication
 for deaf consumers, § B.2.c–d & § B.4; and (6) data collection and DDS monitoring of regional
 centers, § B.5.

6 The new Steering Committee will be composed of stakeholders and subject matter 7 experts. Implementation Plan § A. Steering Committee members will include individuals who 8 are Deaf, service providers with experience serving deaf individuals, regional center 9 representatives, and a representative from one of Plaintiffs' counsel, Disability Rights California. 10Id. § A.1. The Steering Committee will make recommendations to DDS "to advance the quality 11 and depth of services and supports to regional center consumers who are deaf." Id. § A. These 12 recommendations will address the remaining elements of the Implementation Plan. Id. § A.2. 13 DDS has already begun forming the Steering Committee. Decl. Brian Winfield Supp. Joint Mot. 14 Prelim. Approval ("Winfield PA Decl.") ¶ 4.

15 The Implementation Plan sets out a new process for offering deaf consumers assessments 16 of their communication preferences, strengths, and needs. Implementation Plan § B.1. As part of 17 this innovative effort, DDS will retain a contractor to identify and train sufficient assessors to 18 conduct thousands of new assessments. Id. § B.1.c. Completed Communication Assessments will 19 be reviewed by each Settlement Class Member's interdisciplinary team at an individual program 20 plan ("IPP") meeting to ensure that the consumer's needs are fully addressed. Id. § B.1.d. To 21 facilitate monitoring and accountability, DDS will also modify its contracts with regional centers to include these newly required Communication Assessments. Id. § B.1.e. 22

The Implementation Plan creates a new position for a statewide Equity Specialist who
will participate in the Steering Committee and oversee the remaining elements of the
Implementation Plan, including the Communication Assessments, trainings, a new webpage, etc. *Id.* § B.2.a. Although the settlement has not yet been approved, DDS has already filled this
position with a widely respected individual with extensive experience, who is Deaf. Winfield PA
Decl. ¶ 7; Klein PA Decl. ¶ 17; Bishara PA Decl. ¶ 14. The Implementation Plan also requires

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each of the state's twenty-one regional centers to hire a Deaf Services Specialist to "support the
 expansion of deaf service resources, provide training and expertise to regional center staff, and
 coordinate with DDS on statewide efforts." Implementation Plan § B.2.b.

4 To address the current shortage of service providers and living situations with staff who 5 can communicate with deaf consumers in sign language or visual-gestural means, the 6 Implementation Plan provides that DDS will give priority to funding requests that address this 7 deficit, with guidelines based on recommendations from the Steering Committee. Id. § B.2.b & 8 § B.3. The Implementation Plan will also create a new, statewide housemate matching service 9 under the oversight of the statewide Equity Specialist, which may be used by Settlement Class 10 Members to specify their preferences for living options and communities including whether they 11 would like to live with other deaf housemates. Id. § B.3.d.

Under the Implementation Plan, DDS will also develop trainings for regional center
service coordinators, professionals, and paraprofessionals who support Settlement Class
Members about the needs of deaf consumers and resources for supporting them. *Id.* § B.2.c–d.
DDS will set up a webpage dedicated to deaf services that includes these training materials,
directives in ASL, FAQs, information on statutory and regulatory requirements, and more. *Id.*§ B.4. The Steering Committee will make recommendations regarding trainings, the webpage,
and appropriate resources. *Id.* § A.2.b.

Finally, the Implementation Plan requires DDS to collect and review data regarding the
assessment process and monitor regional center compliance with the new requirements.
Implementation Plan § B.5. DDS will also send a reminder to regional centers of their
obligations under the Americans with Disabilities Act ("ADA") and guidance on effective
communication from the U.S. Department of Justice. *Id.* § B.5.c.

The Agreement includes procedural requirements that will benefit Settlement Class
Members. The Agreement provides that the Parties will jointly ask the Court to retain
jurisdiction to ensure compliance with the Settlement Agreement until it terminates. Agreement
¶ 64. Termination will occur one year after the completion of essential provisions of the
Implementation Plan, the latest of which are estimated to occur between December 1, 2023 and

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February 29, 2024. *Id.* ¶ 44; Implementation Plan § B.1.d & § B.1.e. Thus, court jurisdiction will
 likely extend at least through December 2024 and possibly later if DDS requires additional time
 to satisfy the requirements of the Implementation Plan.

4 Regarding monitoring, the Agreement requires DDS to provide Class Counsel with 5 progress and data reports twice per year and be available to meet twice per year to discuss these 6 reports. Agreement ¶¶ 40–41. The Parties may also meet at other times by mutual consent to 7 discuss implementation efforts. Id. \P 42. If disputes develop, the Agreement provides that the 8 Parties will first provide written notice and meet to attempt to resolve the dispute. Id. ¶ 66. If the 9 Parties cannot resolve their dispute, they will request a conference with Judge Corley. Id. ¶¶ 67– 10 68. If this is unsuccessful, an enforcement motion may be filed thirty days after the conference. Id. ¶ 69. 11

12 The Agreement includes a reasonable release of claims as to DDS, and as to its agents 13 and contractors. Agreement ¶ 21, 45–46. The release is limited to claims under Title II, Section 14 504, and Section 11135 that arise out of the allegations in the federal and state complaints up to 15 the date of the Court's final approval order. Id. \P 21 (definition of Released Claims), \P 8 16 (definition of Effective Date of Settlement). Furthermore, non-party Settlement Class Members 17 do not release any claims for damages. Id. ¶ 21. In addition, non-party Settlement Class 18 Members may still pursue individual claims regarding the provision of services, subject to the 19 pre-existing requirement in state law that they have exhausted the claim through the 20 administrative hearing process. Id.

21 III. LEGAL STANDARD

"Settlement [is] the preferred means of dispute resolution[,] especially . . . in complex
class action litigation." *Officers for Just. v. Civ. Serv. Comm'n of City & Cnty. of San Francisco*,
688 F.2d 615, 625 (9th Cir. 1982). Indeed, a "strong judicial policy" favors the settlement of
class actions. *Class Plaintiffs v. City of Seattle*, 955 F.2d 1268, 1276 (9th Cir. 1992). Under Rule
23(e) of the Federal Rules of Civil Procedure, a class action settlement that is binding on absent
class members requires court approval. Fed. R. Civ. P. 23(e). Approval is a two-step process: (1)
preliminary approval of the settlement and (2) after a notice period, final determination that the

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settlement is fair, reasonable, and adequate. *See Bakhtiar v. Info. Res., Inc.*, No. 17-cv-04559 JST, 2021 WL 4472606, at *5–6 (N.D. Cal. Feb. 10, 2021).

To grant preliminary approval, the court determines whether the class is proper for
settlement purposes, and, if so, preliminarily certifies the class. *Cf. Amchem Prods., Inc. v. Windsor*, 521 U.S. 591, 620 (1997); *see also Uschold v. NSMG Shared Servs., LLC*, 333 F.R.D.

6 157, 166 (N.D. Cal. 2019) (citing *Staton v. Boeing Co.*, 327 F.3d 938, 952 (9th Cir. 2003)).

To support class certification, a court must find each of Rule 23(a)'s four requirements—
numerosity, commonality, typicality, and adequacy of representation—has been satisfied. *Amchem Prods.*, 521 U.S. at 614. The court must then determine whether the proposed class
fulfills the criteria of one of the three subsections of Rule 23(b). *Id.* The applicable provision
here is Rule 23(b)(2), which "permits class actions for declaratory or injunctive relief where 'the
party opposing the class has acted or refused to act on grounds generally applicable to the
class.' "*Id.* (quoting Fed. R. Civ. P. 23(b)(2)).

At the preliminary approval stage, the Parties must show "that the court will likely be able to" approve their proposed settlement when considering the following factors: (1) whether the class was adequately represented; (2) whether the proposed settlement was negotiated at arm's length; (3) whether the relief provided for the class is adequate, taking into account the costs, risks, and delay of trial and appeal, and the terms of any proposed award of attorneys' fees; and (4) whether the proposal treats class members equitably relative to one another. Fed. R. Civ. P. 23(e)(1)(B), 23(e)(2).

21 IV. ARGUMENT

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A. <u>The Proposed Settlement Class Should Be Certified</u>

The Parties have stipulated to seek certification of the following Settlement Class, for thepurposes of settlement only:

All persons eligible for services pursuant to the Lanterman Developmental Disabilities Services Act whose response to question 60 within DDS's "Client Development Evaluation Report (CDER) Diagnostic Element" is "2-Severe hearing loss," "3-Profound hearing loss," or "9-Hearing loss suspected, severity undetermined;" and whose response to question 61 within DDS's

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1 2	CDER Diagnostic Element is "2-Severe hearing loss," "3-Profound hearing loss," "8-Correction not possible," or "9-Hearing not corrected." ⁶
3	Agreement ¶¶ 3, 6, 22, 35.
4	The proposed Settlement Class meets the requirements of Rule 23(a) and Rule 23(b)(2)
5	and should be provisionally certified pending final approval.
6 7	1. <u>The proposed Settlement Class of approximately 10,000 consumers is</u> <u>sufficiently numerous.</u>
, 8	The first element of Rule 23(a) requires that the class be "so numerous that joinder of all
9	members is impracticable." Fed. R. Civ. P. 23(a)(1). While Rule 23(a)'s numerosity requirement
10	"is not tied to any fixed numerical threshold," courts generally find that classes of 40 or more
11	members satisfy the requirement—and sometimes even fewer. Rannis v. Recchia, 380 F. App'x
12	646, 651–52 (9th Cir. 2010) (discussing standard and affirming certification of 20-member
13	class); Hernandez v. Cnty. of Monterey, 305 F.R.D. 132, 153 (N.D. Cal. 2015) (citing 1 William
14	Rubenstein, Newberg on Class Actions § 3:12, at 198 (5th ed. 2011) ("A class or subclass with
15	more than 40 members 'raises a presumption of impracticability based on numbers alone.' ")).
16	The numerosity requirement is satisfied here, where Defendants' data indicate that
17	approximately 10,000 individuals meet the class definition. Winfield PA Decl. ¶ 6. The Court
18	should therefore find that the Settlement Class is sufficiently numerous.
19	2. <u>Plaintiffs' claims depend on common contentions capable of class-wide</u> resolution.
20	The second element of Rule 23(a) requires the existence of "questions of law or fact
21	common to the class." Fed. R. Civ. P. 23(a)(2). Commonality is satisfied where the plaintiff
22	alleges the existence of "a common contention" such that "determination of its truth or falsity
23	will resolve an issue that is central to the validity of each one of the claims in one stroke." Wal-
24	
25	⁶ This Settlement Class is slightly different than the class described in Plaintiffs' First Amended
26	Complaint ("FAC"): "Individuals who, now or in the future, are deaf and are eligible or become eligible for DDS's I/DD services pursuant to the Lanterman Developmental Disabilities Services
27	Act." FAC ¶ 17, ECF No. 11. While, in practical terms, both class definitions apply to the same
28	group of affected persons, the modified class definition is appropriate because it enables the parties to clearly identify Settlement Class Members based on DDS data.
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Mart Stores, Inc. v. Dukes, 564 U.S. 338, 350 (2011). "This does not, however, mean
that *every* question of law or fact must be common to the class[.]" *Abdullah v. U.S. Sec. Assocs.*, *Inc.*, 731 F.3d 952, 957 (9th Cir. 2013). Plaintiffs may meet the commonality requirement by
raising even a single common question of fact or law. *Wal-Mart*, 564 U.S. at 359. The critical
question is whether class members have suffered the same injury, such that their claims "depend
upon a common contention . . . [that] is capable of classwide resolution." *Id.* at 350.⁷

7 Plaintiffs challenge Defendants' alleged state-wide policies and practices that apply to 8 every member of the proposed Settlement Class, including but not limited to: failing to ensure 9 that deaf consumers receive appropriate communication assessment; allowing IPP planning 10meetings to be conducted without auxiliary aids and services necessary for deaf consumers to 11 communicate effectively; and failing to take any reasonable actions to ensure that California's 12 intellectual and developmental disability ("I/DD") services are accessible to deaf consumers. 13 FAC ¶¶ 61–69, 73–74, 77–89. The legality of these actions and inactions is a question capable of 14 classwide resolution, and where a lawsuit seeks a determination regarding "systemic policies and 15 practices" that affect all of the putative class members—as this case does—Rule 23(a)'s 16 commonality requirement is met. See Parsons v. Ryan, 754 F.3d 657, 681-82 (9th Cir. 2014) 17 (discussing cases); see also B.K. ex rel. Tinsley v. Snyder, 922 F.3d 957, 969 (9th Cir. 2019) 18 (affirming district court's commonality determination and noting that systemic "statewide 19 policies and practices [were] the 'glue'" holding together the putative class); Smith v. City of 20 Oakland, 339 F.R.D. 131, 140-41 (N.D. Cal. 2021) (finding commonality where plaintiffs 21 "challenge a deficient government policy or program, not [an] individual harm"); *Hernandez*, 22 305 F.R.D. at 153 ("In civil rights cases, 'commonality is satisfied where the lawsuit challenges 23 a system-wide practice or policy that affects all of the putative class members." (quoting 24 Armstrong v. Davis, 275 F.3d 849, 868 (9th Cir. 2001))); Gray v. Golden Gate Nat'l 25 Recreational Area, 279 F.R.D. 501, 512, 515 (N.D. Cal. 2011) (finding commonality satisfied 26 where plaintiffs challenged

⁷ Rule 23(a)(2)'s commonality requirement is "less rigorous" than the predominance requirement of Rule 23(b)(3). *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1019 (9th Cir. 1998); *see also Wal-Mart*, 564 U.S. at 359 (distinguishing requirements).

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"uniform policies and practices of failing to ensure" accessibility for people with disabilities).

2 Insofar as Settlement Class Members differ in their access needs or in the extent to which 3 they may have been harmed by DDS policies, these dissimilarities do not impede classwide 4 resolution. See Wal-Mart, 564 U.S. at 350. Where plaintiffs challenge "a deficient government 5 policy or program, not [an] individual harm," no individualized inquiry is necessary. Smith, 339 6 F.R.D. at 140. Here, Plaintiffs' central claim is not that they were individually denied access to 7 specific services, but that they were excluded from full participation because of their deafness—a 8 question common to all Settlement Class Members. See id. at 141 ("[T]he question presented by 9 this lawsuit is not whether class members were denied access to particular accessible housing, 10but whether they were excluded from the protections of the [rent control program] because of 11 their disabilities."). Indeed, Plaintiffs sought and achieved not individualized relief but systemic 12 reforms, like new communications assessment procedures, a statewide Steering Committee, and 13 hiring of an Equity Specialist at the state level and Deaf Services Specialists at the regional level, 14 which benefit all class members. See § II.C, supra (discussing relief provided by Agreement). 15 The Court should thus find that the proposed Settlement Class satisfies Rule 23(a)'s 16 commonality requirement.

3. <u>Plaintiffs' claims are typical of the Settlement Class.</u>

18 The third element of Rule 23(a) requires that the claims of the representative parties are 19 typical of the claims of the class. Fed. R. Civ. P. 23(a)(3). Rule 23(a)'s typicality requirement is 20 met so long as the named plaintiffs' claims are "reasonably coextensive with those of absent 21 class members; they need not be substantially identical." Parsons, 754 F.3d at 685 (quoting 22 Hanlon v. Chrysler Corp., 150 F.3d 1011, 1020 (9th Cir. 1998), overruled on other grounds by 23 Wal-Mart, 564 U.S. at 338). "The test of typicality is 'whether other members have the same or 24 similar injury, whether the action is based on conduct which is not unique to the named 25 plaintiffs, and whether other class members have been injured by the same course of conduct." 26 Id. (quoting Hanon v. Dataproducts Corp., 976 F.2d 497, 508 (9th Cir. 1992)). 27 Plaintiffs allege injuries attributable to the same alleged course of conduct: Defendants'

²⁸ failure to ensure that deaf consumers receive effective communication and meaningful access to

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1 the benefits of California's I/DD service program. See, e.g., FAC ¶¶ 61-69, 73-74, 77-89. 2 Though the extent of their injuries may differ, Plaintiffs allege that every class member is 3 affected by this same course of conduct. The legal theories that Plaintiffs would have relied on to 4 redress this harm apply equally to each member of the proposed Settlement Class, and the relief

5 Plaintiffs have achieved will benefit that class as a whole. The Court should thus find that the 6 proposed Settlement Class satisfies Rule 23(a)'s typicality requirement.

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4. Plaintiffs and their Counsel have and will fairly and adequately protect the interests of the Class.

The final element of Rule 23(a) requires that "the representative parties will fairly and adequately protect the interests of the class." Fed. R. Civ. P. 23(a)(4). Plaintiffs are adequately represented so long as "the named plaintiffs and their counsel [do not] have any conflicts of interest with other class members, and ... [will] prosecute the action vigorously on behalf of the class." See In re Mego Fin. Corp. Sec. Litig., 213 F.3d 454, 462 (9th Cir. 2000), as amended (June 19, 2000).

There are no conflicts between Plaintiffs and other Settlement Class Members. See Klein 15 PA Decl. ¶¶ 11–12; Bishara PA Decl. ¶¶ 6–7. Plaintiffs McCullough and Bishara are impacted 16 by Defendants' systemwide policies and practices challenged in this case. FAC ¶¶ 95–125, 171– 17 207. Plaintiffs sought only injunctive and declaratory relief to make California's I/DD services 18 equally available to deaf consumers—relief structured to benefit the class as a whole. Id. ¶¶ 25, 19 246–47; see also Am. Council of the Blind v. Astrue, No. C 05-04696 WHA, 2008 WL 4279674, 20 at *6 (N.D. Cal. Sept. 11, 2008) (stating that where plaintiffs do not seek monetary damages, 21 "[t]he potential for any conflict or collusion is . . . minimal"). Furthermore, the Parties' 22 Agreement provides the same injunctive relief for Plaintiffs and every member of the proposed 23 Settlement Class. See § II.C, supra (discussing relief provided by Agreement). 24

In addition, Plaintiffs, through their guardians *ad litem*, have vigorously represented the 25 class and pursued this outcome on behalf of the Settlement Class. Klein PA Decl. ¶¶ 12–14; 26 Bishara PA Decl. ¶¶ 5–6, 8–9. Ms. Klein and Mr. Bishara have knowledge of the case and their 27 duties as class representatives and have reviewed and support the Agreement. Klein PA Decl. 28

1 ¶¶ 12–16; Bishara PA Decl. ¶¶ 6–13.

2 Similarly, Plaintiffs' counsel has no known conflicts of interests with any Settlement 3 Class Member and has acted vigorously on behalf of the proposed Settlement Class, through 4 both litigation and arms'-length settlement negotiations. Adequate representation of counsel is 5 generally presumed in the absence of contrary evidence. Californians for Disability Rights, Inc. 6 v. Ca. Dep't of Transp., 249 F.R.D. 334, 349 (N.D. Cal. 2008). Counsel may demonstrate their 7 qualifications with previous experience litigating class action lawsuits. See Hanlon, 150 F.3d at 8 1021. In this case, Plaintiffs' counsel have extensive experience litigating class action suits, 9 including other similar class actions challenging governmental policies. Weaver PA Decl. ¶ 27– 1035; Decl. Melinda Bird Supp. Joint Mot. Prelim. Approval ("Bird PA Decl.") ¶¶ 5–11.

11 Plaintiffs' counsel thoroughly investigated the claims, defeated Defendants' motion to 12 dismiss, retained experts to prepare detailed reports, and spent over two years engaging in 13 negotiations to reach this Agreement. Weaver PA Decl. ¶¶ 12–20; Bird PA Decl. ¶¶ 3–4; ECF 14 No. 38 (Order Den. Mot. Dismiss). In addition, Plaintiffs' counsel properly refused to negotiate a 15 demand for payment of attorneys' fees and costs until after reaching an agreement with 16 Defendants on all other aspects of the settlement, in order to avoid even the appearance of 17 conflict between the interests of counsel and the interests of the Settlement Class. Weaver PA 18 Decl. ¶ 20.

19 Based on Plaintiffs' counsel's experience litigating novel and complex cases against 20 public entities, they have determined that the injunctive terms and other provisions contained in 21 the Agreement will adequately protect the rights of Plaintiffs and the Settlement Class that this 22 case sought to vindicate. Weaver PA Decl. ¶¶ 37–40; Bird PA Decl. ¶¶ 14–16. Moreover, 23 Plaintiffs' counsel are well-aware that attempting to reach a resolution through additional 24 litigation could have taken years and might not have yielded a resolution as favorable as that 25 contained in the proposed Agreement. Weaver PA Decl. ¶ 21, 37–40; Bird PA Decl. ¶ 14–16. 26 The Court should find that Rule 23(a)(4)'s adequacy requirement is met.

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- 5. <u>The proposed class meets the requirements of Rule 23(b)(2).</u>
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Rule 23(b)(2), which requires that the defendant "has acted or refused to act on grounds

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1 that apply generally to the class, so that final injunctive relief or corresponding declaratory relief 2 is appropriate respecting the class as a whole," see Fed. R. Civ. P. 23(b)(2), was designed to 3 empower individuals like Plaintiffs to vindicate their civil rights through class action litigation. 4 See Parsons, 754 F.3d at 686–87. "Civil rights cases against parties charged with unlawful, 5 class-based discrimination are prime examples" of the purpose of this rule. Amchem Prods., 521 6 U.S. at 614; see also Parsons, 754 F.3d at 686 ("[T]he primary role of this provision has always 7 been the certification of civil rights class actions."); Baby Neal ex rel. Kanter v. Casey, 43 F.3d 8 48, 64 (3d Cir. 1994) ("The writers of Rule 23 intended that subsection (b)(2) foster institutional 9 reform by facilitating suits that challenge widespread rights violations of people who are 10individually unable to vindicate their own rights."). The rule is "almost automatically satisfied in 11 actions primarily seeking injunctive relief." Hernandez, 305 F.R.D. at 151 (quoting Gray, 279 12 F.R.D. at 520).

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13 Here, Plaintiffs challenge DDS policies and practices applicable to all Settlement Class 14 Members and seek injunctive relief. The proposed Agreement will benefit all Settlement Class 15 Members. Among other improvements, every Settlement Class Member will be offered an 16 appropriate communication assessment, have the benefit of new Deaf Services Specialists at 17 their regional centers and at the statewide level, and enjoy increased access to programs 18 including a new housemate matching program. Implementation Plan §§ B.1, B.2.a, B.2.b, B.3. 19 Furthermore, the Agreement does not release non-party Settlement Class Members' potential 20 claims for monetary damages or their ability to seek individualized relief through the 21 administrative process.

The proposed Settlement Class meets all requirements of Rule 23(a) and Rule 23(b)(2)
and should be certified.

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B. The Parties' Agreement Should Be Preliminarily Approved

In making a final fairness determination, Rule 23 requires courts to consider: (1) whether the class was adequately represented; (2) whether the proposed settlement was negotiated at arm's length; (3) whether the relief provided for the class is adequate, taking into account, in relevant part, the costs, risks, and delay of trial and appeal and the terms of any proposed award

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1 of attorneys' fees; and (4) whether the proposal treats class members equitably relative to one 2 another. Fed. R. Civ. P. 23(e)(2); see also Lane v. Facebook, Inc., 696 F.3d 811, 819 (9th Cir. 3 2012) (listing *Hanlon* factors considered in the Ninth Circuit). Courts "cannot, however, fully 4 assess such factors until after the final approval hearing; thus, a full fairness analysis is 5 unnecessary at this stage." Uschold, 333 F.R.D. at 169 (internal quotation marks and citation 6 omitted). "Preliminary approval is thus appropriate if the proposed settlement appears to be the 7 product of serious, informed, noncollusive negotiations, has no obvious deficiencies, does not 8 improperly grant preferential treatment to class representatives or segments of the class, and falls 9 within the range of possible approval." Id. (internal quotation marks and citation omitted). 10"[P]reliminary approval should only be granted where the parties have 'shown that the court will 11 *likely be able to* . . . approve the proposal under [the final approval factors] in Rule 23(e)(2)." 12 In re MyFord Touch Consumer Litig., No. 13-cv-03072-EMC, 2019 WL 1411510, at *4 (N.D. 13 Cal. Mar. 28, 2019) (quoting Fed. R. Civ. P. 23(e)(1)(B)) (alteration in original).

1. <u>Plaintiffs and their counsel have adequately represented the Settlement</u> Class.

In determining whether a class has been adequately represented, courts consider the same 16 "adequacy of representation" questions that are relevant to class certification. See id. at *8; see 17 also O'Connor v. Uber Techs., Inc., No. 13-cv-03826-EMC, 2019 WL 1437101, at *6 (N.D. Cal. 18 Mar. 29, 2019). As discussed above in § IV.A.4, this requirement is satisfied. First, Plaintiffs' 19 counsel engaged in extensive investigation and advocacy prior to filing suit. Bird PA Decl. ¶¶ 3– 20 4; Weaver PA Decl. ¶¶ 13–15. Second, Plaintiffs' counsel and Plaintiffs vigorously prosecuted 21 the action. They successfully defended against Defendants' motion to dismiss, propounded 22 discovery, and produced an extensive expert report. See ECF No. 38 (Order Den. Mot. Dismiss); 23 Weaver PA Decl. ¶¶ 8–12. Third, Plaintiffs, through their guardians ad litem have been engaged 24 and involved in this matter to represent the interests of the class, including by participating in 25 many settlement conferences and meetings and providing input throughout the Parties' 26 settlement negotiations. Weaver PA Decl. ¶ 17; Klein PA Decl. ¶ 13; Bishara PA Decl. ¶ 8. 27 Thus, the Court should find that this Rule 23(e)(2) factor weighs in favor of approval. 28

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1026).

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2. <u>The Parties' Agreement is the product of arms' length negotiations.</u>

2 The Parties' proposed Agreement is the product of over two years of arms' length 3 negotiations, including eight settlement conferences before Judge Corley, numerous settlement 4 meetings between the parties, and dozens of written proposals exchanged. ECF Nos. 50, 54, 58, 5 60, 67, 79, 89, 92 (Min. Entries re Settlement Confs.); Weaver PA Decl. ¶¶ 16–19. While no 6 presumption of fairness attaches to settlements achieved through arms-length negotiations, see 7 Roes, 1–2 v. SFBSC Mgmt., LLC, 944 F.3d 1035, 1049 (9th Cir. 2019), such negotiations do 8 weigh in favor of approval.⁸ Fed. R. Civ. P. 23(e)(2)(B). And, as the Advisory Committee has 9 recognized, "the involvement of a neutral or court-affiliated mediator or facilitator . . . may bear 10 on whether [negotiations] were conducted in a manner that would protect and further the class 11 interests." Advisory Committee Notes to 2018 Amendments, Fed. R. Civ. P. 23(e)(2). Where, as 12 here, an agreement is the product of "serious, informed, non-collusive negotiations" conducted 13 by experienced counsel over an "extended period of time," courts routinely find that preliminary 14 approval is appropriate. See, e.g., In re Tableware Antitrust Litig., 484 F. Supp. 2d 1078, 1079-15 80 (N.D. Cal. 2007). Furthermore, Plaintiffs' counsel refused to negotiate attorneys' fees and 16 costs until agreement was reached on the remainder of the settlement. Weaver PA Decl. ¶ 20. 17 The Court should find that this Rule 23(e)(2) factor weighs in favor of approval.

> <u>The Parties' Agreement will provide exceptional relief to Plaintiffs and the</u> Settlement Class

3.

The third factor requires courts to consider whether "the relief provided for the class is adequate, taking into account: (i) the costs, risks, and delay of trial and appeal; (ii) the effectiveness of any proposed method of distributing relief to the class, including the method of processing class-member claims; (iii) the terms of any proposed award of attorney's fees, including timing of payments; and (iv) any agreement required to be identified under Rule $\frac{1}{25}$

²⁶ ⁸ The considerations encompassed by the revised Rule 23(e)(b)(2)(A)–(B) "overlap with certain *Hanlon* factors, such as the non-collusive nature of negotiations, the extent of discovery completed, and the stage of proceedings." *In re Extreme Networks, Inc. Sec. Litig.*, No. 15-cv-04883-BLF, 2019 WL 3290770, at *7 (N.D. Cal. July 22, 2019) (citing *Hanlon*, 150 F.3d at 23(e)(3)." Fed. R. Civ. P. 23(e)(2)(C). In determining whether the Agreement "falls within the
range of possible approval," the Court must focus on "substantive fairness and adequacy" and
"consider plaintiffs' expected recovery balanced against the value of the settlement offer." *See In re Tableware Antitrust Litig.*, 484 F. Supp. 2d at 1080 (citations omitted). "It is well-settled law
that a proposed settlement may be acceptable even though it amounts only to a fraction of the
potential recovery that might be available to class members at trial." Uschold, 333 F.R.D. at 171
(internal quotation and alterations omitted).

8 Here, the settlement fully addresses the issues that led Plaintiffs to file this lawsuit. 9 Plaintiffs were living in three different group homes with staff who could not communicate with 10them using sign language, and participated in day programs that also had no signing staff. FAC 11 ¶ 104–09, 145–46, 153, 164–67, 180–86, 196, 200. Although all preferred to communicate with 12 sign language, the staff from the regional center, their group homes, and their day programs all 13 attempted to communicate using written notes, without regard to their preference or actual 14 ability. Id. ¶¶ 110–16, 130, 140–44, 178–82, 188, 193. One Plaintiff wished to move to a home 15 with other deaf residents; once she was provided an interpreter and could communicate this to 16 her regional center, she was told none were available. Id. ¶ 159-63. Regional Center staff were 17 unaware of the importance of videophones as an accommodation for deaf residents and failed to 18 ensure that this accommodation was available to the Plaintiffs. Id. ¶¶ 125, 156, 198. Neither the 19 regional centers nor their group home staff arranged for interpreters for their medical care, so 20 they were unable to communicate their medical needs, understand their treatment or ask

21 questions. *Id.* ¶¶ 124, 157–58, 199.

The Agreement and Implementation Plan address all these issues. Deaf consumers will be offered appropriate communication assessments, which will ensure that regional center staff and vendors are aware of their abilities, preferences, and need for auxiliary aids and services. New and expanded programs for deaf consumers, including housemate matching, will be given priority for new funding, so that deaf consumers will have more appropriate programs from which to choose. Deaf Services Specialists at every regional center will be available to support the development of new resources, staff training and completion of the communication

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1 assessments. DDS will provide training for staff who work with deaf consumers and a new 2 webpage with resources on increasing access for deaf consumers. Once implemented, these 3 changes will resolve the problems that led to the lawsuit.

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The potential costs, risks, and delays associated with trial and a. appeal weigh in favor of approval.

In considering "the costs, risks, and delay of trial and appeal," Fed. R. Civ. P. 23(e)(2)(C)(i), courts in the Ninth Circuit evaluate "the strength of the plaintiffs' case; the risk, expense, complexity, and likely duration of further litigation; [and] the risk of maintaining class action status throughout the trial." Hanlon, 150 F.3d at 1026. Further, in determining whether the Agreement "falls within the range of possible approval," the Court considers the expected outcome balanced against the value of the settlement. See Uschold, 333 F.R.D. at 171.

In deciding whether to agree to this settlement, Plaintiffs were required to, and did, consider the possibility that DDS would prevail in the litigation, and the case would end with no benefits to the class. Weaver PA Decl. ¶¶ 38–40; Bird PA Decl. ¶ 16. DDS has argued that it is not responsible for the actions of the regional centers or their vendors who provide services directly to Plaintiffs and the Settlement Class. DDS argued that the issue of appropriate accommodations for each class member was so individualized as to defeat class certification. DDS also contended that it was up to the consumer's IPP team, not DDS, to offer any appropriate assessments.

On the other hand, Plaintiffs contend that under the ADA and its implementing 20 regulations, DDS is required to ensure that the benefits of its program are available to deaf 21 consumers and that its operations, as well as those of its contractors, do not discriminate on the 22 basis of disability. Thus, Plaintiffs contend that DDS is responsible for the failure of regional 23 centers and their vendors to provide effective communication to deaf consumers. Plaintiffs 24 amassed extensive evidence demonstrating deaf consumers' exclusion from meaningful participation in California's I/DD services program and from the opportunity to benefit from decision making about their own services because of the lack of sign interpreters. Plaintiffs were also prepared to show that class certification was appropriate. 28

1 Nevertheless, there is no guarantee that Plaintiffs would prevail, and any litigated result 2 would require significant time and resources for the Court to resolve these disputes. Plaintiffs 3 considered the fact that the settlement provided additional benefits—such as the engagement of 4 regional center representatives in the Steering Committee and the hiring of Deaf Services 5 Specialists at every regional center—that would greatly enhance the prospective relief. Klein PA 6 Decl. ¶¶ 15–17; Bishara PA Decl. ¶¶ 10–14. The Steering Committee, which includes subject 7 matter experts, will also make recommendations to DDS regarding a consistent set of 8 procedures, tools, and materials for communication assessments and recruitment for assessors. 9 Implementation Plan § A. This and other relief included in the Agreement might not otherwise 10 be available from a court, even if Plaintiffs were to prevail.

11 Based on these factors, Plaintiffs made a considered decision that this settlement is in the 12 best interests of the Settlement Class and deserves judicial approval. Klein PA Decl. ¶ 14; 13 Bishara PA Decl. ¶ 9.

b.

The terms of the Parties' proposed attorneys' fee award also weigh in favor of approval.

The statutes at issue in this action allow prevailing plaintiffs to recover their reasonable fees and costs. See 42 U.S.C. § 12205 (ADA); 29 U.S.C. § 794a(b) (Section 504). In the context of a class settlement, "courts have an independent obligation to ensure that the award, like the settlement itself, is reasonable, even if the parties have already agreed to an amount."9 In re Bluetooth Headset Prods. Liab. Litig., 654 F.3d 935, 941 (9th Cir. 2011).

20 Subject to this Court's approval, Defendants have agreed to pay Plaintiffs' counsel 21 \$1,300,000 to cover all attorneys' fees and costs, including those incurred for monitoring 22 implementation of the Agreement. Agreement ¶ 43. This term was negotiated after all 23 substantive settlement terms pertaining to injunctive relief had been resolved. Weaver PA Decl. 24

- 25 ⁹ While such awards are not formally approved until the final approval hearing, class counsel 26 must "include information about the fees and costs . . . they intend to request[and] their lodestar calculation (including total hours) . . . in the motion for preliminary approval." See U.S. DIST.
- 27 CT. N. DIST. OF CAL., Procedural Guidance for Class Action Settlements ¶ 6 (Aug. 4, 2022), available at https://www.cand.uscourts.gov/forms/procedural-guidance-for-class-action-28 settlements/.

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1 ¶ 20.

Plaintiffs' lodestar, calculated by multiplying the number of hours Plaintiffs reasonably
expended on the litigation by their reasonable hourly rate, is the presumptively reasonable
attorneys' fee for settlement purposes. *See In re Bluetooth Headset Prods. Liab. Litig.*, 654 F.3d
at 941. Moreover, "lawyers are not likely to spend unnecessary time on contingency fee cases"
such as this one "in the hope of inflating their fees"; thus,"[b]y and large, the court should defer
to the winning lawyer's professional judgment as to how much time he was required to spend on
the case[.]" *Moreno v. City of Sacramento*, 534 F.3d 1106, 1112 (9th Cir. 2008).

9 Plaintiffs' Counsel devoted a total of 4394.9 hours to this action through August 31, 10 2022. Weaver PA Decl. ¶ 25, Ex. 5 (DRA Billing Summary); Bird PA Decl. ¶¶ 25–26, Ex. 1 11 (DRC Billing Summary). In the interest of settlement, Plaintiffs wrote off a significant amount of 12 this time—1420 hours, or over 32.3% of all hours billed—meaning that they only sought 13 compensation for 2974.9 hours of work. Weaver PA Decl. Ex. 5; Bird PA Decl. ¶ 26. Based on 14 2022 rates for Plaintiffs' counsel¹⁰—this amounted to a lodestar of \$1,788,305. Weaver PA Decl. 15 Ex. 5; Bird PA Decl. Ex. 1. Plaintiffs also requested \$30,650.05 in reasonable costs and expenses 16 incurred through August 31, 2022. Weaver PA Decl. ¶ 22.

This amount thus represents a significant reduction to Plaintiffs' lodestar, which did not include any of the necessary work Plaintiffs have done since August 31, 2022 or the further work they will do in support of final approval and monitoring the Agreement's implementation.

However, Plaintiffs are willing to agree to such a discount in the interest of bringing this case toa close.

Where, as here, Plaintiffs have achieved an excellent result on behalf of the proposed
Settlement Class—as discussed in § II.C, above—that fact weighs heavily in favor of finding that
their fee award is reasonable. *See Hensley v. Eckerhart*, 461 U.S. 424, 435–436 (1983) ("Where
a plaintiff has obtained excellent results, his attorney should recover a fully compensatory fee.
... [T]he most critical factor [to the reasonableness of an attorney fee award] is the degree of

Plaintiffs' counsel's rates are regularly approved in the Northern District of California. Weaver
 PA Decl. ¶ 26; Bird PA Decl. ¶ 27.

1 success obtained."); see also Vizcaino v. Microsoft Corp., 142 F. Supp. 2d 1299, 1303 (W.D. 2 Wash. 2001), aff'd, 290 F.3d 1043 (9th Cir. 2002). Thus, the Court should find that the terms of 3 the Parties' proposed fee award weigh in favor of approval.

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The Parties' Agreement treats all Settlement Class Members equitably. 4.

5 "The Court must next examine whether the Settlement Agreement provides preferential 6 treatment to any class member." Uschold, 333 F.R.D. at 170 (internal quotation and citation 7 omitted). Here, all Settlement Class Members, both named and unnamed, will be treated 8 equitably and will receive the same benefits in the form of injunctive relief. Agreement § III. 9 Furthermore, non-party Settlement Class Members will not release any potential claims for 10 monetary damages or for individualized services. Agreement \P 21, 45–46. The Plaintiffs will not 11 receive an incentive payment or any benefits that are not afforded to non-party Settlement Class 12

Members. See Agreement.

С. The Parties' Proposed Form of Notice Should Be Approved

14 Notice to a settlement class certified under Rule 23(b)(2) is within the Court's discretion. 15 Fed. R. Civ. P. 23(c)(2)(a), (e)(1). "Notice provided pursuant to Rule 23(e) must 'generally 16 describe[] the terms of the settlement in sufficient detail to alert those with adverse viewpoints 17 to investigate and to come forward and be heard." "Lane, 696 F.3d at 826 (alteration in original) 18 (quoting Rodriguez v. W. Publ'g Corp., 563 F.3d 948, 962 (9th Cir. 2009)). The Parties' 19 proposed form of notice meets this standard.

20 Here, the proposed class notice, attached as Exhibit B to the Agreement (hereinafter 21 "Notice"), is written using plain language with a Flesch-Kincaid reading level of 10th grade. Bird 22 PA Decl. ¶ 21. The Notice will be available in English, Spanish and other threshold languages as 23 defined by paragraph (3) of subdivision (a) of Section 1810.410 of Title 9 of the California Code 24 of Regulations. Agreement ¶ 52. DDS will mail the Notice to Settlement Class Members, who 25 will be identified using DDS data sources. Id. ¶ 51; Winfield PA Decl. ¶ 6. DDS and Plaintiffs' 26 counsel will each post the Notice on their websites, along with a video of an ASL translation of 27 the notice. Agreement ¶ 52. DDS will also respond to requests for the Notice in alternative 28 formats. *Id.* If DDS determines that providing the Notice in a requested alternative format is

McCullough, et al. v. Cal. Dep't of Dev. Servs. et al., Case No. 3:20-cv-2958-SI Joint Mot. Preliminary Approval of Class Settlement

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unduly burdensome, it will report any such determination as part of the final approval process
 and explain why the request was unduly burdensome. *Id.* In addition, DDS will work with the
 regional centers to disseminate information to Settlement Class Members about the Agreement,
 the process for filing objections, and the date for the fairness hearing. *Id.* ¶ 53.

The Notice also informs Settlement Class Members that they can file objections in
writing or by submitting a sign language video of their objection directly to the courtroom
deputy. Notice at 4. Plaintiffs' counsel specifically negotiated for this option to ensure that deaf
Settlement Class Members face no barriers to expressing their views on the proposed Class
Settlement. Bird PA Decl. ¶ 23.

The Notice and proposed notice process satisfies Rule 23(c) because it is easy to
comprehend and provides sufficient information to alert Settlement Class Members with
opposing views to investigate and express their objections.

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D. <u>The Agreement meets the Northern District's Guidance</u>

The Northern District of California has issued procedural guidance for class action
settlements. U.S. DIST. CT. N. DIST. OF CAL., *Procedural Guidance for Class Action Settlements*(Aug. 4, 2022), *available at* <u>https://www.cand.uscourts.gov/forms/procedural-guidance-for-</u>
class-action-settlements/. Below, the Parties address those guidelines that are applicable in this
matter.

First, as noted above, the Settlement Class is slightly different from the class proposed in
the operative complaint. In their FAC, Plaintiffs defined the putative class as "Individuals who,
now or in the future, are deaf and are eligible or become eligible for DDS's I/DD services
pursuant to the Lanterman Developmental Disabilities Services Act." FAC ¶ 17. The Settlement
Class is defined as

All persons eligible for services pursuant to the Lanterman Developmental Disabilities Services Act whose response to question 60 within DDS's "Client Development Evaluation Report (CDER) Diagnostic Element" is "2-Severe hearing loss," "3-Profound hearing loss," or "9-Hearing loss suspected, severity undetermined;" and whose response to question 61 within DDS's CDER Diagnostic Element is "2-Severe hearing loss," "3-Profound hearing loss," "8-Correction not possible," or "9-Hearing not corrected."

Agreement ¶¶ 3, 6, 22, 35. While, in practical terms, both class definitions apply to the same
group of affected persons, the modified class definition is appropriate because it enables the
parties to clearly identify Settlement Class Members based on DDS data.

Second, the claims released in the Agreement are different from the claims in Plaintiffs' 6 FAC in two respects. First, DDS's agents and contractors were not named as defendants in 7 Plaintiffs' federal or state actions, though the Agreement includes them in the release of claims. 8 This is appropriate because the systemic actions DDS has agreed to will also be implemented by 9 their agents and contractors at the regional center level, for example through modification of 10 regional center contracts (Implementation Plan §§ B.1.e, B.5.b) and hiring of regional Deaf 11 Services Specialists (id. § B.2.b) and because the release is temporally limited to claims arising 12 before the Effective Date (Agreement ¶ 21). Second, the Agreement releases state law claims 13 under Section 11135, which are not included in Plaintiffs' FAC because they were voluntarily 14 removed and filed in state court at Defendants' request. Weaver PA Decl. ¶¶ 3–4 & Ex. 2. 15 Release of state law claims is appropriate because those claims have been filed in state court by 16 the same plaintiffs, represented by the same guardians *ad litem* and counsel, and based on the 17 same factual allegations which will be addressed by the same relief. 18

Third, to the Parties' knowledge, the only other case that would be affected by the 19 Agreement is Plaintiffs' parallel state action filed in Alameda County Superior Court ("State 20 Action"). The Agreement provides that Plaintiffs will dismiss this action with prejudice. 21 Agreement ¶ 65. The Parties are also aware of one other lawsuit filed by a member of the 22 proposed Settlement Class-Melton v. Regional Center of the East Bay, Inc., No. 4:20-cv-23 06613-YGR (N.D. Cal.). In Melton a deaf-blind consumer sued her regional center, Regional 24 Center of the East Bay (a DDS contractor), alleging violations of Section 504 and Section 11135. 25 First Am. Compl. Injunctive Relief & Damages ¶ 114-29, 143-54, Melton, No. 4:20-cv-06613-26 27

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YGR (N.D. Cal. Mar. 11, 2021), ECF No. 48.¹¹ Ms. Melton's claims appear to arise out of 1 2 allegations distinct from this case, as Plaintiffs' Complaint (ECF No. 1), FAC (ECF No. 11), and 3 State Action (Weaver PA Decl. Ex. 2) do not include any allegations regarding the Regional 4 Center of the East Bay.¹² However, Melton could be affected if a court were to determine that 5 those claims arise out of the allegations in Plaintiffs' Complaint, FAC, or State Action. To the 6 extent that Ms. Melton's Section 504 and Section 11135 claims against her regional center are 7 for injunctive or declaratory relief and a court concludes that they arise out of the allegations in 8 Plaintiffs' Complaint, FAC, or State Action, they would be released by the Agreement. Even in 9 this unlikely event, Ms. Melton's claims for damages would be unaffected. The Parties provided 10 Ms. Melton's counsel the Settlement Agreement and Implementation Plan; to the Parties' 11 knowledge Ms. Melton does not object to the Agreement.

Finally, Defendants will provide notice to the Attorney General for the United States and
the California Attorney General within ten days of filing this motion, as required by the Class
Action Fairness Act, 28 U.S.C. § 1715(b).

V. CONCLUSION

The settlement of this litigation achieves important benefits for Plaintiffs and all members
of the proposed Settlement Class. Plaintiffs respectfully request that the Court enter the attached
proposed order preliminarily approving the Agreement, preliminarily certifying the proposed
class, and approving the proposed notice form and notice plan.

class, and approving the proposed notice form and notice plan.
 DATED: March 30, 2023
 Respectfully submitted,
 DISABILITY RIGHTS ADVOCATES
 Meredith J. Weaver
 Rebecca Williford
 Attorneys for Plaintiffs
 ¹¹ Ms. Melton also alleged various other violations of federal and state anti-discrimination laws.
 First Am. Compl. Injunctive Relief & Damages, *Melton*, No. 4:20-cv-06613-YGR (N.D. Cal. Mar. 11, 2021), ECF No. 48. However, to the extent that those claims remain at issue in the *Melton* litigation, they would not be impacted by the Agreement.
 ¹² Plaintiffs are served by Golden Gate Regional Center and Inland Regional Center. See FAC
 ¶¶ 11–13, ECF No. 11.

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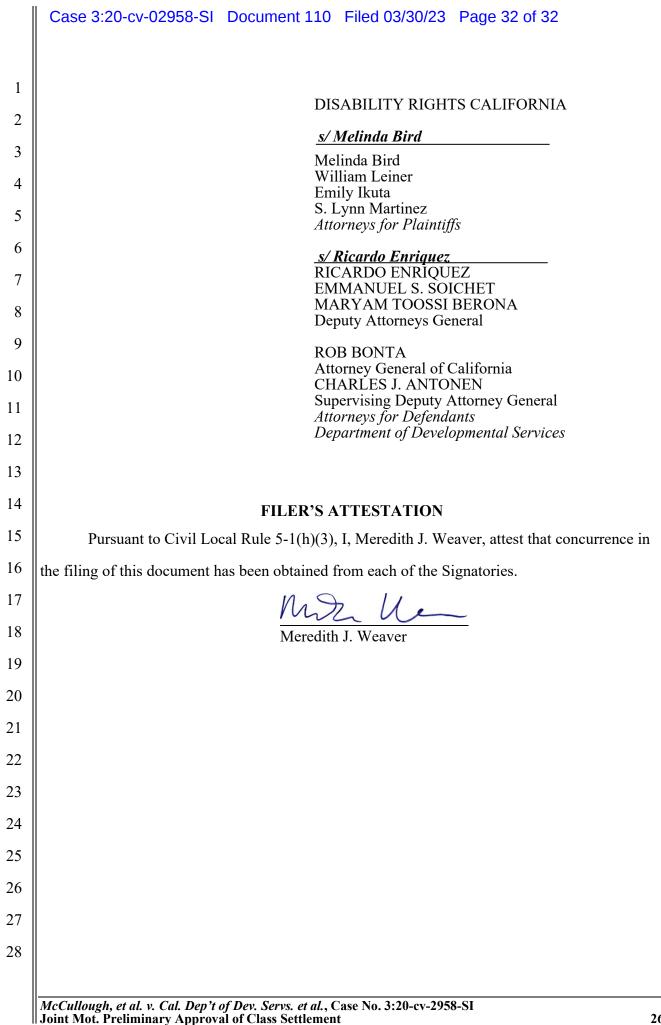
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